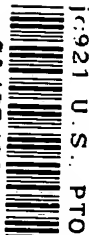


02/07/02



10072676 U.S. PTO

Please type a plus sign (+) inside box



2-11-02

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 2429-3

First Inventor or Application Identifier Michael Wendell Vice

Title Series Active Filtering Power Line Conditioner

Express Mail Label No. ET003550293US

10072676 U.S. PTO  
02/07/02**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification *Total Pages* 30  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Formal Drawing(s) (35 U.S.C.113) *Total Sheets* 20
5. Oath or Declaration *Total Pages* 2  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO**Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(I). Applicant must attach form PTO/SB/35  
or it's equivalent
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No:

Prior application information: Examiner N/AGroup / Art Unit: N/A

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the  
accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted  
application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

PATENT TRADEMARK OFFICE

29941

or ☒ Correspondence address below

Name Glenn C. Brown, P.C.

Address 777 N.W. Wall Street, Suite 308

City Bend

State

Oregon

Zip Code

97701

Country United States

Telephone

541/312-2500

Fax

541/312-8900

Name (Print/Type)

Glenn C. Brown

Registration No. (Attorney/Agent)

34,555

Signature

Date

February 7, 2002

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 415.00**Complete if Known**

|                      |                      |
|----------------------|----------------------|
| Application Number   | N/A                  |
| Filing Date          | Herewith             |
| First Named Inventor | Michael Wendell Vice |
| Examiner Name        | N/A                  |
| Group / Art Unit     | N/A                  |
| Attorney Docket No.  | 2429-3               |

**METHOD OF PAYMENT (check one)**
☐ Check ☐ Credit card ☐ Money ☒ Other ☐ None  
Order
☐ Deposit Account:Deposit  
Account  
NumberDeposit  
Account  
Name**The Commissioner is authorized to: (check all that apply)**

- ☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.  
☒ Charge fee(s) to PTO Form 2038

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description        | Fee Paid |
|----------------|----------------|----------------|----------------|------------------------|----------|
| 101            | 740            | 201            | 370            | Utility filing fee     | 370      |
| 106            | 330            | 206            | 165            | Design filing fee      |          |
| 107            | 510            | 207            | 255            | Plant filing fee       |          |
| 108            | 740            | 208            | 370            | Reissue filing fee     |          |
| 114            | 160            | 214            | 80             | Provisional filing fee |          |

**SUBTOTAL (1)**

(\$ 370)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 25                 | -20** = 5    | X 9            | = 45     |
| Independent Claims | 1            | -3** = 0       | X = 0    |
| Multiple Dependent |              | X              | = 0      |

| Large Fee Code | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description  |
|----------------|----------------|----------------|----------------|--|
| 103            | 18             | 203            | 9              | Claims in excess of 20                                     |
| 102            | 84             | 202            | 42             | Independent claims in excess of 3                          |
| 104            | 280            | 204            | 140            | Multiple dependent claim, if not paid                      |
| 109            | 80             | 209            | 40             | ** Reissue independent claims over original patent         |
| 110            | 18             | 210            | 9              | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)**

(\$ 45)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description  | Fee Paid |
|----------------|----------------|----------------|----------------|--|----------|
| 105            | 130            | 205            | 65             | Surcharge - late filing fee or oath  |          |
| 127            | 50             | 227            | 25             | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139            | 130            | 139            | 130            | Non-English specification  |          |
| 147            | 2,520          | 147            | 2,520          | For filing a request for reexamination                                     |          |
| 112            | 920*           | 112            | 920*           | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*         | 113            | 1,840*         | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110            | 215            | 55             | Extension for reply within first month                                     |          |
| 116            | 400            | 216            | 200            | Extension for reply within second month                                    |          |
| 117            | 920            | 217            | 460            | Extension for reply within third month                                     |          |
| 118            | 1,400          | 218            | 720            | Extension for reply within fourth month                                    |          |
| 128            | 1,960          | 228            | 980            | Extension for reply within fifth month                                     |          |
| 119            | 310            | 219            | 155            | Notice of Appeal   |          |
| 120            | 310            | 220            | 155            | Filing a brief in support of an appeal                                     |          |
| 121            | 270            | 221            | 135            | Request for oral hearing   |          |
| 138            | 1,510          | 138            | 1,510          | Petition to institute a public use proceeding                              |          |
| 140            | 110            | 240            | 55             | Petition to revive - unavoidable   |          |
| 141            | 1,240          | 241            | 620            | Petition to revive - unintentional   |          |
| 142            | 1,240          | 242            | 620            | Utility issue fee (or reissue)   |          |
| 143            | 440            | 243            | 220            | Design issue fee   |          |
| 144            | 600            | 244            | 300            | Plant issue fee  |          |
| 122            | 130            | 122            | 130            | Petitions to the Commissioner  |          |
| 123            | 50             | 123            | 50             | Petitions related to provisional applications                              |          |
| 126            | 240            | 126            | 240            | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40             | 581            | 40             | Recording each patent assignment per property (times number of properties) |          |
| 146            | 710            | 246            | 355            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 710            | 249            | 355            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179            | 710            | 279            | 355            | Request for Continued Examination (RCE)                                    |          |
| 169            | 900            | 169            | 900            | Request for expedited examination of a design application                  |          |

Other fee (specify)

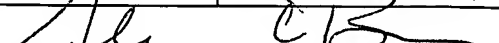
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\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$0)

**SUBMITTED BY****Complete (if applicable)**

|                   |   |                                  |        |           |                  |
|-------------------|---|----------------------------------|--------|-----------|------------------|
| Name (Print/Type) | Glenn C. Brown  | Registration No.-Attorney/Agent) | 34,555 | Telephone | 541/312-2500     |
| Signature         |  |                                  |        | Date      | February 7, 2002 |

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